

Assistance Authorization

I would like the University Libraries to assist me in submitting material to the University of Rhode Island's open access repository (the "Repository"), which is available at <http://digitalcommons.uri.edu/>. As used herein, the term "Assistants" means the staff members and student employees of the University Libraries.

I understand that the Repository submission process involves enabling the University Libraries to obtain a copy of my material, as well as providing information, making choices about access and other matters, and signing a submission agreement. I have reviewed the Submission Agreement for Materials in DigitalCommons@URI appended as Attachment A. I also have reviewed the information in Attachment B about current University Libraries procedures for depositing and posting articles in the Repository.

I authorize the University Libraries and the Assistants to act for me in submitting material to the Repository, including signing the Submission Agreement on my behalf, and to take any other action for me in connection with the Repository, with the same effect as if I had taken those actions myself.

In addition, I confirm my grant to the University of Rhode Island of a non-exclusive license with respect to my scholarly articles as set forth in the open access policy found at http://digitalcommons.uri.edu/cgi/viewcontent.cgi?article=3043&context=facsen_bills that was adopted by the University of Rhode Island faculty on March 21, 2013 and signed by the President on May 2, 2013. Accordingly, the University of Rhode Island may exercise any and all rights under copyright relating to my scholarly articles, in any medium, and may authorize others to do the same, provided that the articles are not sold.

Signature of Faculty Member

Date

Printed Name of Faculty Member, plus Department

Email Address of Faculty Member

Return this form by email to oapolicy-group@uri.edu or mail it to Library Digital Initiatives, Carothers Library & Learning Commons, 15 Lippitt Road, Kingston, RI 02881.

Attachment A

DigitalCommons@URI submission requires that authors enter into a simple author's agreement regarding the deposit and use of the item being submitted. There are two forms of Author Agreement. The OAP Author Agreement is for use if the article is subject to the Open Access Policy and a URI author has not obtained a waiver for the article under the policy. The Standard Author Agreement is for use if the item is not subject to the Open Access Policy or a URI author has obtained a waiver for the article under the policy.

OAP Author Agreement

I direct that the work I am depositing (the "Work") be stored and made available publicly in the University of Rhode Island's open access repository, as it may evolve (the "Repository").

I confirm my grant to the University of Rhode Island of a non-exclusive license with respect to my scholarly articles, including the Work, as set forth in the open access policy found at http://digitalcommons.uri.edu/cgi/viewcontent.cgi?article=3043&context=facsen_bills that was adopted by the University of Rhode Island Faculty Senate. Accordingly, the University of Rhode Island may exercise any and all rights under copyright relating to the Work, in any medium, and may authorize others to do the same, provided that the Work is not sold.

I represent and warrant to the University of Rhode Island that:

- I have the right to deposit the Work in the Repository and to allow the University of Rhode Island to use the Work in the exercise of the rights described above.
- The Work does not infringe or violate any copyright or other rights of a publisher or any other party. Distribution of the Work by the University of Rhode Island or others as permitted above will not infringe or violate any such rights.
- If the Work contains any material for which I do not hold copyright, I have obtained all rights necessary to allow the Work, including that material, to be distributed and made available by the University of Rhode Island or others as permitted above.
- If the Work is based upon work that has been sponsored or supported by an agency or organization other than the University of Rhode Island, any right of review or other such obligations of the sponsorship or support agreement have been fulfilled.

If the Work was prepared jointly with other authors, I am signing this Agreement on their behalf as well as my own, and am authorized to do so.

Standard Author Agreement

I direct that the work I am depositing (the "Work") be stored and made available publicly in the University of Rhode Island's open access repository, as it may evolve (the "Repository").

I grant to the University of Rhode Island the non-exclusive right to preserve and reproduce the Work, and to display, distribute and make the Work available publicly without charge from the Repository, in any format.

I represent and warrant to the University of Rhode Island that:

- I have the right to deposit the Work in the Repository and to grant the University of Rhode Island the rights granted above.
- The Work does not infringe or violate any copyright or other rights of a publisher or any other party. Distribution of the Work by the University of Rhode Island or others as permitted above will not infringe or violate any such rights.
- If the Work contains any material for which I do not hold copyright, I have obtained all rights necessary to permit the Work, including that material, to be distributed and made available from the Repository.
- If the Work is based upon work that has been sponsored or supported by an agency or organization other than the University of Rhode Island, any right of review or other such obligations of the sponsorship or support agreement have been fulfilled.

If I have specified an embargo date where allowed in the deposit process, I understand that the University of Rhode Island will delay making the Work publicly available from the Repository until that date.

If the Work was prepared jointly with other authors, I am signing this Agreement on their behalf as well as my own, and am authorized to do so.

Attachment B

Deposit and Posting in the Repository

The following is information about the deposit and posting of scholarly articles in the Repository with the help of the University Libraries and Assistants, which you should understand as a faculty member entering into an Assistance Authorization:

- The Assistants may obtain scholarly articles of which you are an author (“Articles”) from various sources, including directly from you, from other repositories (e.g. arXiv, SSRN), and from other sources.
- When an Assistant obtains an author’s final manuscript of an Article that appears to have been completed after the adoption of the University of Rhode Island Open Access Policy (“OAP”), the Assistant will assume it is subject to the OAP. The Assistants will make such manuscripts publicly available in the Repository under the Terms of Use for OAP articles and will sign a Submission Agreement on your behalf, unless you have obtained a waiver under the OAP for the Article.
- When an Assistant obtains an author’s final manuscript of an Article that is not subject to the OAP, or a facsimile of the final published version of an Article (i.e., with the publisher’s formatting), the Assistants will make the material publicly available in the Repository if they determine that doing so is consistent with the publisher’s standard policies, and they will sign a Submission Agreement on your behalf. The Article will be made available according to the terms of use allowed by the publisher. Articles subject to the OAP but for which a waiver has been taken also will be treated in this fashion.
- If you wish a given article to be treated differently from the foregoing general procedures, you may so direct by contacting the University Libraries. You should contact and direct the University Libraries if you conclude that any of the undertakings in Submission Agreement is inappropriate for a given article.
- Some publishers’ agreements with authors may conflict with the OAP—for example if they require transfer of the entire copyright in the article, free of any license. You can avoid such a conflict and a potential breach of the agreement by using the addendum available at <http://uri.libguides.com/oapolicy/addendum>. Alternatively, you may take a waiver under the OAP for a given Article if you wish, which also will eliminate such a conflict. If you submit an addendum and the publisher rejects it, the University Libraries recommend that you obtain a waiver under the OAP for the article.
- If an Article has been made publicly available in the Repository consistent with the foregoing and you would like it to be taken down, you should contact the University Libraries.
- The University Libraries may vary their general procedures as they deem appropriate in particular cases, and may modify their general procedures from time to time. If the University Libraries makes major modifications to the general procedures described above, it will endeavor to notify faculty authors who have entered into Assistance Authorizations.
- If you have questions or wish additional assistance at any time, please contact the University Libraries. The University Libraries can be reached at oapolicy-group@uri.edu. Additional information, including an FAQ, is also available at <http://uri.libguides.com/oapolicy>.